PTO/SB/21 (08-00)

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RANSMITTAL **Application Number** 09/954,522 **FORM Filing Date** Sep 11, 2001 respondence after initial filing) First Named Inventor Hitz Group Art Unit NYA **Examiner Name** NYA Total Number of Pages This Submission 70 Attorney Docket Number 103.1002.12 ENCLOSURES (check all that apply) **Assignment Papers** After Allowance Communication to Fee Transmittal Form (for an Application) Group Fee AttachedCredit Card Appeal Communication to Board of Drawing(s) Payment Form PTO-2038 Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert a Affidavits/declaration(s) Status Letter Provisional Application Other Enclosure(s) (please identify below): Power of Attorney, Revocation **Extension of Time Request** Change of Correspondence Address Transmittal Form Letter Substitute Specification Return Postcard **Express Abandonment Request** Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. no. 33,040 Steven A. Swernofsky Individual name Signature Date 01-02-2002 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: .2.2007 Type or printed name Arlette Malhas, Paralegal Signature Date Malla 2.2007

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Commission (



103.1002.12

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In re Application of:

HITZ

Serial No. 09/954,522

Filed: September 11, 2001

For: Write Anywhere File-System Layout

Art Unit: NYA

Case Examiner: NYA

Examiner Telephone:

Missing Parts Notice Mailed: 11/01/2001

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Assistant Commissioner for Patents

Washington, D.C. 20231

on 1-2-02

Date

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This paper is responsive to the Notice to File Missing Parts dated as shown above. Applicant is submitting a substitute specification in compliance with 37 CFR 1.52 with its original text remaining the same as filed September 11, 2001.

Respectfully submitted,

Dated: January 2, 2002

Steven A. Swernofsky

Reg. No. 33,040







PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/954.522

09/11/2001

David Hitz

103.1002.12

CONFIRMATION NO. 8740

FORMALITIES LETTER OC000000007010747*

22883 SWERNOFSKY LAW GROUP P O BOX 390013 **MOUNTAIN V!EW, CA 94039-0013**

Date Mailed: 11/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

A copy of this notice <u>MUST</u> be returned with the reply.

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02 FC:105

Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE